

Audit & Governance Committee

21 December 2009

Report of the Assistant Director (Customer Service & Governance)

Key Corporate Risk Monitor Quarter Three 2009/10

Summary

1. The purpose of this paper is to present to Audit & Governance Committee (A&G) the revised risks associated with the refreshed Key Corporate Risks (KCRs) as at the third quarter for 2009/10.

Background

2. The KCRs are reported to both Audit & Governance Committee (A&G) and CMT on a quarterly basis as part of the council's overall governance arrangements. The KCRs were reviewed at a session of the Corporate Leadership Group (CLG) in June 2009 and approved by A&G and CMT in September 2009.

Quarter Three Monitor

3. The position of the revised KCRs at the end of the third quarter of 2009/10 are set out at Annex A of this report. The monitor is complete in terms of accurately reflecting the information recorded in the council's risk register (Magique) however, there is an on-going requirement for risk owners to ensure their risks are accurate, complete and up-to-date.
4. This is the first set of risks associated with the revised KCRs to be brought to A&G and they will continue to be developed over the coming months setting out clearly all the current controls and future actions to be taken to mitigate them. The headline risks are set out below and full descriptions along with details of the controls and actions currently identified to mitigate them are set out within the monitor (Annex A):

KCR 0003 Waste Management Strategy Partnership

- Failure to secure planning consent
- Solution is unaffordable

KCR 0010 Emergency Planning & Business Continuity

- Inability to respond to multiple incidents

KCR 0012 Effects of the Economic Downturn

- Increase in the value of employer's contribution to LGPS

KCR 0016 Capital Programme

- Failure to obtain funding for Access York phase 2
- Failure to deliver York University Pool by 2011
- Failure to achieve planning approval (Admin Accom)

KCR 0018 Impact of an Ageing Population

- Increasing social care support costs
5. More detailed information can be provided by the appropriate risk owner from the relevant directorate, if it is required in relation to any of the risks contained within the monitor. A&G after considering the risks may wish to call in a lead officer to A&G committee in April 2010 to provide more detail and assurance around how a specific risk is being managed.

Development Issues

6. The More For York - KCR 0017 - is not included in this quarter's monitor as this area is continuing to be developed in line with the More for York blueprints. Risks associated with the blueprints will be reported in future monitoring reports as they are developed.

Directorate High & Critical Risks

7. In terms of High and Critical directorate risks that A&G may wish to consider outside of the KCRs there have been no risks raised from Directorate Management Teams this quarter.

Options

8. Not applicable.

Corporate Strategy

9. The effective consideration and management of risk within all of the council's business processes will contribute to achieving an 'Effective Organisation' and aid the successful delivery of each theme within the Corporate Strategy.

Implications

- (a) **Financial** - There are no implications
- (b) **Human Resources (HR)** - There are no implications
- (c) **Equalities** - There are no implications
- (d) **Legal** - There are no implications
- (e) **Crime and Disorder** - There are no implications
- (f) **Information Technology (IT)** - There are no implications
- (g) **Property** - There are no implications

Risk Management

10. In compliance with the council's Risk Management strategy, there are no risks directly associated with the recommendations of this report. The activity resulting from this report will contribute to improving the council's internal control environment and avoid criticism within the Organisational Assessment under CAA.

Recommendations

11. Audit & Governance Committee are asked to:
- a) Consider, comment and agree on the risks set out at Annex A and paragraph 4 of this report;

Reason
To provide assurance that risks to the council are continuously reviewed and updated.
 - b) suggest a further detailed risk report for A&G in April as set out at paragraph 5 if required.

Reason
To provide assurance that key risks are being appropriately managed by the organisation

Contact Details

Author:

Claire Rogers
Risk Management Officer
Phone No. 01904 551156

David Walker
Head of Financial Procedures
Phone No. 01904 552261

Chief Officer Responsible for the report:

Pauline Stuchfield
Assistant Director of Customer Service &
Governance

Report Approved



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Specialist Implications Officer(s) Not applicable

Wards Affected Not applicable

For further information please contact the author of the report

Background Papers

Key Corporate Risk Monitor Quarter three 2009/10.

Public Annex

Annex A – Key Corporate Risk Monitor

Exempt Annex

Annex B – Key Corporate Risk Monitor